

Confirmation No. 1188

PHA-01336 [PC27711A]

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT : Robert M. Noack, et al.

EXAMINER : Unknown

SERIAL NO. : 10/816,699

ART UNIT : 1615

FILED : April 2, 2004

PAPER NO :

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Statement as Provided by 37 CFR 1.97(b)

Commissioner for Patents
P.O. BOX 1450
Alexandria, VA 22313-1450

Dear Sir:

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of the first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

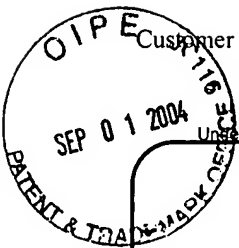
It is requested that the references listed on the attached form PTO-FB-A820 be included in the "References Cited" portion of any patent issuing from this application (MPEP § 1302.12).

It is understood by the Applicants that this paper requires no fee; however, authorization is given to charge any necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Respectfully submitted,

Dated: 8/30/04

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Confirmation No. 1188

PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/816,699
Filing Date	April 2, 2004
First Named Inventor	Robert M. Noack et al
Art Unit	1615
Examiner Name	
Attorney Docket Number	PHA-01336 [PC27711A]

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

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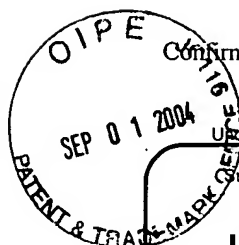
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Signature	<i>Karen King</i>
Date	8/30/04

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/816,699
Filing Date	April 2, 2004
First Named Inventor	Robert M. Noack et al
Art Unit	1615
Examiner Name	Unknown
Attorney Docket Number	PHA-01336 [PC27711A]

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5260068	11-09-1993	Chih-Ming Chen, et al	
		US- 5260069	11-09-1993	Chih-Ming Chen, et al	
		US- 5484608	01-16-1996	Edward M. Rudnie, et al	
		US- 5780055	07-14-1998	Yacoub S. Habib, et al	
		US- 5945124	08-31-1999	George Sachs, et al	
		US- 6068856	05-30-2000	George Sachs, et al	
		US- 6132768	10-17-2000	George Sachs, et al	
		US- 6177101-B1	01-23-2001	Alice C. Martino, et al	
		US- 6224910-B1	05-01-2001	Ismat Ullah, et al	
		US- 6228398-B1	05-08-2001	John G. Devane, et al	
		US- 6248363-B1	06-19-2001	Mahesh V. Patel, et al	
		US- 6274173-B1	08-14-2001	George Sachs, et al	
		US- 6335033-B2	01-01-2002	Benjamin Oshlack, et al	
		US- 6340475-B2	01-22-2002	John W. Shell, et al	
		US- 6406745-B1	06-18-2002	James D. Talton, et al	
		US- 6517866-B1	02-11-2003	Mary Tanya Am Ende, et al	
		US- 2002/0012675-A1	01-31-2002	Rajeev A. Jain, et al	
		US- 2002/0015729-A1	02-07-2002	Patrea L. Pabst, et al	
		US- 2003/0026839-A1	02-06-2003	Benjamin Oshlack, et al	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP1027887-A2	08-16-2000	Leah Elizabeth Appel, et al		<input type="checkbox"/>
		WO 98/32427-A1	07-30-1998	Jean A Setterstrom, et al		<input type="checkbox"/>
		WO 00/18374-A1	04-06-2000	Jon Swanson, et al		<input type="checkbox"/>
		WO 00/69420-A1	11-23-2000	Yoseph Bornstein, et al		<input type="checkbox"/>
		WO 01/19345-A1	03-22-2001	Brita Sjoblom, et al		<input type="checkbox"/>
		WO 01/37808	05-31-2001	Manesh V. Patel, et al		<input type="checkbox"/>

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Docket No. PHA-01336 [PC27711A]

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/816,699
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				First Named Inventor	Robert M. Noack et al
				Art Unit	1615
Examiner Name	Unknown				
Attorney Docket Number	PHA-01336 [PC27711A]				
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		E. A. HOSNY, et al., "Formulation and In Vitro and In Vivo Availability of Diclofenac Sodium Enteric-Coated Beads", Drug Development and Industrial Pharmacy, 1998, Vol. 24, No., 7, pp 661-666	
		S. Y. LIN, et al., "Micronized Ethylcellulose Used for Designing a Directly Compressed Time-Controlled Disintegration Tablet", Journal of Controlled Release, 2001, Vol. 70, pp 321-328	
		D. MAZUR, et al., "Bioavailability and Selected Pharmacokinetic Parameters of Clindamycin Hydrochloride After Administration of a New 600 mg Tablet Formulation", International Journal of Clinical Pharmacology and Therapeutics, 1999, Vol. 37, No. 8, pp 386-392	
		A OSOL, et al., Remington's Pharmaceutical Sciences, 16th Edition, 1980, pp 1245-1248	
		J. P. REO, et al., "Taste Masking Science and Technology Applied to Compacted Oral Solid Dosage Forms - Part 3", American Pharmaceutical Review, 2002, Vol. 4, pp 1-6	
		A. J. RIBEIRO, et al., "Microencapsulation of Lipophilic Drugs in Chitosan-coated Alginate Microspheres", International Journal of Pharmaceutics, 1999, Vol. 187, pp 115-123	
		M. RODRIGUEZ, et al., "Design of a New Multiparticulate System for Potential Site-Specific and Controlled Drug Delivery to the Colonic Region", Journal of Controlled Release, 1998, Vol. 55, pp 67-77	
		T. YAO, et al., "Tableting of Coated Particles. I. Small Particle Size Chitosan as an Agent Protecting Coating membrane from Mechanical Damage of Compression Force", Chemical and Pharmaceutical Bulletin, 1997, Vol. 45, No. 9 pp 1510-1514	

Examiner Signature		Date Considered	
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